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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/849,551	05/20/2004	1645	860	50229-435	10	40	8

MCDERMOTT, WILL & EMERY
 600 13th Street, N.W.
 Washington, DC 20005-3096

CONFIRMATION NO. 7209

UPDATED FILING RECEIPT



OC000000014305140

Date Mailed: 11/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Jeffrey Moscow, Lexington, KY;
 Xin Lu, Shanghai, CHINA;
 Craig Jordan, Rochester, NY;

Power of Attorney: The patent practitioners associated with Customer Number 20277.

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/471,709 05/20/2003

Foreign Applications

If Required, Foreign Filing License Granted: 07/22/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/849,551**

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**** SMALL ENTITY ****

Title

Organic cation transporter preferentially expressed in hematopoietic cells and leukemias and uses thereof

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

TFW

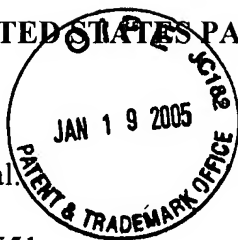
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jeffrey A. MOSCOW, et al

Application No.: 10/849,551

Filed: May 20, 2004



Customer Number: 20277

Confirmation Number: 7209

Group Art Unit: 1645

Examiner: Not yet assigned

For: AN ORGANIC CATION TRANSPORTER PREFERENTIALLY EXPRESSED IN
HEMATOPOIETIC CELLS

REQUEST FOR CORRECTED FILING RECEIPT

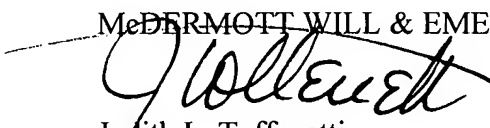
Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the title is incorrect. Attached is a copy of the Declaration and Power of Attorney, which evidences that the title should read: **AN ORGANIC CATION TRANSPORTER PREFERENTIALLY EXPRESSED IN HEMATOPOIETIC CELLS**. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP


Judith L. Toffenetti
Registration No. 39,048

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 JLT:blg
Facsimile: 202.756.8087
Date: January 19, 2005

**Please recognize our Customer No. 20277
as our correspondence address.**



Docket No.: 50229-435

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled AN ORGANIC CATION TRANSPORTER PREFERENTIALLY EXPRESSED IN HEMATOPOIETIC CELLS, the specification of which

- ☐ is attached hereto.
☒ was filed on May 20, 2004 as United States Application Number 10/849,551, or PCT International Application Number and was amended on (if applicable), or
☐ is a Continuation-In-Part (CIP) of Application Number, filed

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56 including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35, United States Code, Section 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent or inventor's or plant breeder's right certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's, or plant breeder's rights certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications(s):

Number

Country

Day/Month/Year filed

Priority Claimed

☐
☐

I hereby claim the benefit under 35 United States Code, Section 119(e) of any United States provisional application(s) listed below.

Prior Provisional Application(s):

Application Number

Filing Date

60/471,709

May 20, 2003

I hereby claim the benefit under 35, United States Code, Section 120 of any United States application(s) or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35, United States Code, Section 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s):

Serial No.

Filing Date

Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the registered practitioners of McDermott Will & Emery LLP, included in the Customer Number provided below, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NUMBER 20277

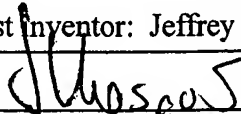
Send correspondence to the address associated with Customer Number 20277

McDERMOTT WILL & EMERY LLP
600 13th Street, N.W.
Washington, D. C. 20005-3096

Direct Telephone Calls to: Telephone 202.756.8000

Full name of sole or first inventor: Jeffrey Moscow

Inventor's signature:



Date: 9/9/04

Residence: Lexington, KY

Citizenship:

Post Office Address: 2051 Von List Court, Lexington, KY 40502

Full name of second inventor: Xin Lu

Inventor's signature:

Xin Lu

Date: 9/13/04

Residence: Shanghai, China

Citizenship:

Post Office Address: 757 Guangfu Road, 6th Fl., Shanghai, China 200070

Full name of third inventor: Craig Jordan

Inventor's signature:

Date:

Residence: Lexington, KY

Citizenship:

Post Office Address: 1240 Sherborne Place, Lexington, KY 40509

Docket No.: 50229-435



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled AN ORGANIC CATION TRANSPORTER PREFERENTIALLY EXPRESSED IN HEMATOPOIETIC CELLS , the specification of which

- ☐ is attached hereto.
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I hereby claim foreign priority benefits under 35, United States Code, Section 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent or inventor's or plant breeder's right certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's, or plant breeder's rights certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications(s):

Number	Country	Day/Month/Year filed	Priority Claimed
			<input type="checkbox"/>
			<input type="checkbox"/>

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Prior Provisional Application(s):

Application Number	Filing Date
60/471,709	May 20, 2003

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Prior U.S. Application(s):

Serial No.

Filing Date

Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY:

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CUSTOMER NUMBER 20277

Send correspondence to the address associated with Customer Number 20277

McDERMOTT WILL & EMERY LLP
600 13th Street, N.W.
Washington, D. C. 20005-3096

Direct Telephone Calls to: Telephone 202.756.8000

Full name of sole or first inventor: Jeffrey Moscow

Inventor's signature:

Date:

Residence: Lexington, KY

Citizenship:

Post Office Address: 2051 Von List Court, Lexington, KY 40502

Full name of second inventor: Xin Lu

Inventor's signature:

Date:

Residence: Shanghai, China

Citizenship:

Post Office Address: 757 Guangfu Road, 6th Fl., Shanghai, China 200070

Full name of third inventor: Craig Jordan

Inventor's signature:

Date: 9-21-04

Residence: ~~Lexington, KY~~

Rochester, NY

Citizenship:

CJ 9-21-04

Post Office Address: 1240 Sherborne Place, Lexington, KY 40509

143 Dartmouth St.
Rochester, NY
14607

CJ 9-21-04